

**NON-PROVISIONAL  
UTILITY PATENT APPLICATION  
TRANSMITTAL - 37 CFR 1.53(b)**

☐ Duplicate  
(check, if applicable)

Assistant Commissioner for Patents  
**BOX PATENT APPLICATION**  
Washington, DC 20231

Attorney Docket No. 9399-004U2  
First Named Inventor: Takumi Ota  
Express Mail Label No. EL329912713US  
Total Pages of Transmittal Form: 2

Transmitted herewith for filing is the **non-provisional** utility patent application entitled:

**OPTICAL DISK REPRODUCING DEVICE (as amended)**

which is:

an ☐ Original; or

a ☒ Continuation, ☐ Divisional, or ☐ Continuation-in-part (CIP)  
of prior Application No. 09/162,988, filed September 29, 1998 which is a  
continuation of Application No. 08/855,252, filed May 13, 1997.

Enclosed are:

- ☒ Specification (including Abstract) and claims: 16 pages.
- ☐ Newly executed/unexecuted Declaration (original/copy).
- ☒ Copy of Declaration from prior application.
- ☐ Separate Power of Attorney (including 37 CFR 3.73(b) statement, if applicable).
- ☒ Three (3) sheets of drawings (formal) plus one copy.
- ☐ Microfiche computer program (Appendix).
- ☐ Nucleotide and/or Amino Acid Sequence Submission, including:
  - ☐ Computer readable copy ☐ Paper Copy ☐ Verified Statement.
- ☐ Under PTO-1595 cover sheet, an assignment of the invention.
- ☒ Certified copies of Japanese Application Nos. Hei 8-121797, filed May 16, 1996,  
and Hei 8-228571, filed August 29, 1996, are filed:
  - ☐ herewith or ☒ in prior application No. 08/855,252.
- ☐ Verified Statement claiming Small Entity Status under 37 CFR 1.9 and 1.27.
  - ☐ was filed in the prior non-provisional application, and such  
status is still proper and desired (37 CFR 1.28(a));
  - ☐ is enclosed herewith; ☐ is no longer desired.
- ☒ Preliminary Amendment.
- ☒ Information Disclosure Statement, PTO-1449.
- ☒ An Assignment was filed in Application No. 08/855,252.

The filing fee has been calculated as shown below:

			SMALL ENTITY			LARGE ENTITY	
CLAIMS	NO. FILED	NO. EXTRA	BASIC FEE: \$395.00			BASIC FEE: \$790.00	
Total	11 - 20 =	0	X11	\$	OR	X22	\$
Independent	1 - 3 =	0	X41	\$	OR	X82	\$
Multiple Dependent Claims Present			\$135	\$	OR	\$270	\$
			TOTAL	\$	OR	TOTAL	\$790.00

The Commissioner is hereby authorized to charge payment of the following fees or credit any overpayment to Deposit Account No. 50-1017 (Billing No. 209399.0009). One additional copy of this sheet is enclosed.

- ☒ The above calculated filing fee is \$790.00.
- ☒ Any additional fees required under 37 C.F.R. §1.16.
- ☒ Any additional fees required under 37 C.F.R. §1.17.
- ☒ If the filing of any paper during the prosecution of this application requires an extension of time in order for the paper to be timely filed, applicant(s) hereby petition(s) for the appropriate extension of time pursuant to 37 C.F.R. §1.136(a).

**CORRESPONDENCE ADDRESS:**

8/19/99  
(Date)

By:



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Enclosures